



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
03/14/98	SITRICK		D STD-1563

SITRICK AND SITRICK
SUITE 201
8340 NORTH LINCOLN AVENUE
SKOKIE IL 60077

03/21/07/98

EXAMINER
SALER, MART UNIT
3711PAPER NUMBER
18

DATE MAILED: 07/31/98

07/31/98

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) Mr D. Sitrick

(3) _____

(2) Exr M Sager

(4) _____

Date of Interview 07/21/98

Type: Telephonic Personal (copy is given to applicant applicant's representative).Exhibit shown or demonstration conducted: Yes No If yes, brief description: _____Agreement was reached. was not reached.

Claim(s) discussed: N/A

Identification of prior art discussed: N/A

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Exr noted specification errors discovered prior to printing for page 7 relating to Fig 3C, Figs 4C + 4D and Figs 3A and 2D.

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

1. It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary, A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a response to the last Office action has not been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

2. Since the Examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the interview unless box 1 above is also checked.

Examiner Note: You must sign this form unless it is an attachment to another form.

FORM PTOL-413 (REV.1-96)

Examiner to Check for Accuracy

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete or accurate, the examiner will give the applicant one month from the date of the notification letter or the remainder of any period for response, whichever is longer, to complete the response and thereby avoid abandonment of the application (37 CFR 1.135(c)).

7) If appropriate, the general results or outcome of the interview unless already described in the interview Summary Form completed by the examiner.

8) An identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the interview Summary Form.

9) An identification of the specific prior art discussed.

10) An identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the interview Summary Form.

11) A brief description of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or detailed. A verbatim transcription of the principal thrusts of the arguments presented to the examiner is not required. The identification of the arguments is sufficient if the general result or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may describe or emphasize and fully describe those arguments which he feels were or might be persuasive to the examiner.

12) A general description of the principal arguments presented to the examiner, unless already described in the interview Summary Form completed by the examiner.

A complete and proper recodardation of the substance of any interview should include at least the following applicable items:

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the interview.

- Serial Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personnel or telephone)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
- An indication whether an amendment was made to the application
- An indication whether an amendment was made to the claims agreed as being allowable
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present.

The Form provides for recordation of the following information:

The Interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and listed on the "Interviews" list on the title page of the file.

Examiners must complete a two-sheet carbon interview Summary Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwriting form using a ball point pen. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview records are provided for in Section 82.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures.

is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates otherwise will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of

The action of the Plaintiff and Defendant Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

§ 12. Business to be transacted in writing. All business with the Patent or Trademark Office should be transacted in writing. The personal alienation of applicants or agents of the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office is based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or dispute.

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complete written statement is to the substance of any face-to-face or telephone interview with regard to an application must be made to receive in line application, whether or not an agreement with the examiner was reached at the interview.